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Comparative Hybrid Hartree-Fock-DFT Calculations of WO₂-Terminated Cubic WO₃ as Well as SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) Surfaces

R. I. Eglitis^{1,*} , Juris Purans¹ and Ran Jia^{1,2}

¹ Institute of Solid State Physics, University of Latvia, 8 Kengaraga Street, LV1063 Riga, Latvia; purans@cfi.lu.lv (J.P.); jiaran@jlu.edu.cn (R.J.)

² Laboratory of Theoretical and Computational Chemistry, Institute of Theoretical Chemistry, Jilin University, Changchun 130023, China

* Correspondence: riegltis@gmail.com; Tel.: +371-26426703

Abstract: We performed, to the best of our knowledge, the world's first first-principles calculations for the WO₂-terminated cubic WO₃ (001) surface and analyzed the systematic trends in the WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surface ab initio calculations. According to our first principles calculations, all WO₂ or TiO₂-terminated WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaZrO₃ (001) surface upper-layer atoms relax inwards towards the crystal bulk, while all second-layer atoms relax upwards. The only two exceptions are outward relaxations of first layer WO₂ and TiO₂-terminated WO₃ and PbTiO₃ (001) surface O atoms. The WO₂ or TiO₂-terminated WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surface-band gaps at the Γ - Γ point are smaller than their respective bulk-band gaps. The Ti-O chemical bond populations in the SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ bulk are smaller than those near the TiO₂-terminated (001) surfaces. Conversely, the W-O chemical bond population in the WO₃ bulk is larger than near the WO₂-terminated WO₃ (001) surface.

Keywords: Ab initio calculations; ABO₃ (001) surfaces; WO₃; hybrid exchange–correlation functionals



Citation: Eglitis, R.I.; Purans, J.; Jia, R. Comparative Hybrid Hartree-Fock-DFT Calculations of WO₂-Terminated Cubic WO₃ as Well as SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) Surfaces. *Crystals* **2021**, *11*, 455. <https://doi.org/10.3390/cryst11040455>

Academic Editors: Thomas M. Klapötke and Christian Rodenbücher

Received: 29 March 2021
Accepted: 19 April 2021
Published: 20 April 2021

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1. Introduction

Throughout the last 20 years the SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surfaces have been broadly explored theoretically and experimentally [1–10]. At the same time, to the best of our knowledge, there are no reports of ab initio calculations dealing with the atomic relaxation and electronic structure of the pristine WO₂-terminated WO₃ (001) surface in the cubic perovskite-like structure. Nevertheless, a large amount of experimental studies exist dealing with WO₃ (001) surfaces [11–14]. Recent theoretical studies have been devoted, for example, to hydrogen adsorption on the WO₃ (001) surface [15], understanding the water splitting process on the WO₃ (001) surface [16], and H₂O adsorption on the WO₃ (001) surface [17].

BaTiO₃, PbTiO₃ and CaTiO₃ perovskites have attracted huge fundamental interest in these materials mostly for their phase transitions. Historically the ABO₃ perovskites were highly promising low-cost energy materials. They have been used for numerous optoelectronic and photonic device applications [18]. SrTiO₃ perovskite thin films are important for a large amount of technologically important applications [19,20]. For example, they are used for catalysis, optical wave guides, high-capacity memory cells as well as substrates for high-temperature cuprate superconductor growth [19,20]. Barium titanate (BaTiO₃) is an excellent photorefractive material [18]. Ferroelectric PbTiO₃ thin films have been applied to large numbers of electronic devices, such as non-volatile memory FET [19] and Si monolithic ultrasonic sensors [18]. CaTiO₃ is used worldwide in technologically important electronic ceramic materials [18]. Tungsten trioxide (WO₃) and its thin films exhibit a large number of novel properties useful for high-technology applications [21]. In particular, WO₃ undergoes phase transitions, which are explored for their potential in

industrial applications, display systems and microelectronics [21]. It is worth noting that the predictive power of ab initio calculations makes possible the design of new materials for high-technology applications on paper. Nowadays, consumer electronics mostly use lithium-ion batteries containing LiCoO₂ cathode, which was discovered in 1980 by J. Goodenough, one of the 2019 Nobel Prize winners for Chemistry [22]. The experimentally detected LiCoO₂ average intercalation voltage is 4.0–4.1 V [23]. Based on ab initio calculations by Eglitis and Borstel [24–26], it was demonstrated that a novel Li₂CoMn₃O₈ battery cathode material can lead to a high-energy lithium-ion battery working at the 5 V regime.

The SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ perovskite cubic unit cells contain five atoms. The A type atom (A = Sr, Ba, Pb or Ca) has the coordinates (0, 0, 0), and it is located in the cube corner position. The Ti atom has the coordinates ($\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$), and it is located in the cube body center position. The 3 O atoms have the coordinates ($\frac{1}{2}, \frac{1}{2}, 0$), ($\frac{1}{2}, 0, \frac{1}{2}$), ($0, \frac{1}{2}, \frac{1}{2}$), and they are located in the cube face centered positions. All SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ cubic perovskites have the same space group *Pm*3m with the space group number equal to 221. WO₃ in its cubic perovskite-like structure has exactly the same space group as ATiO₃ perovskites *Pm*3m, and also the same space group number 221. The only striking difference between the SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ cubic perovskites as well as WO₃ in its cubic perovskite-like structure is that WO₃ has an empty A cation position. Thereby, the cubic perovskite-like unit cell of WO₃ contain only four atoms.

The objective of the reported here work was to carry out first-principles calculations for WO₂-terminated polar WO₃ (001) surfaces in the cubic perovskite-like structure. We compared our WO₂-terminated WO₃ (001) surface-atomic and electronic-structure ab initio calculations with our results for the related structure TiO₂-terminated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ cubic perovskite (001) surfaces. We carefully compared our calculation results for all five of our calculated materials and detected systematic common trends. The results for WO₂-terminated WO₃ and TiO₂-terminated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surfaces were summarized and analysed in a way easily readable for a broad audience of scientists.

2. Computational Methods and Surface Models

In order to carry out ab initio DFT-B3LYP or DFT-B3PW calculations, we employed the CRYSTAL computer program package [27]. Unlike the plane-wave codes widely employed in many previous studies [28,29], the CRYSTAL code [27] uses localized Gaussian-type basis sets. In our calculations, we adopted the basis sets (BS) developed for SrTiO₃, BaTiO₃ and PbTiO₃ in [30]. The Hay–Wadt small-core, effective-core pseudopotentials (ECP) were adopted for Ca and Ti atoms [31–33]. The small-core ECPs replaced only the inner-core orbitals, while orbitals for subvalence electrons as well as for valence electrons were calculated self-consistently. Oxygen atoms were treated with the all-electron BS. Finally, for the W atom we used BS developed by Cora et al. [34]. Our calculations were performed by means of the B3LYP [35] or B3PW [36–38] hybrid exchange–correlation functionals. For all WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ materials we performed the reciprocal space integration with an 8 × 8 × 8 and 8 × 8 × 1 extension of Pack–Monkhorst mesh for the bulk and (001) surfaces of these materials. The CRYSTAL computer program package [27] makes possible the calculation of isolated 2D slabs perpendicular to the Oz direction. In order to compare the performance of different exchange–correlation functionals and choose the best method for our calculations, we calculated the SrTiO₃, SrZrO₃, BaZrO₃, MgF₂ and CaF₂ bulk Γ – Γ band gaps [30,39–42] (Table 1 and Figure 1). The experimentally detected SrTiO₃, SrZrO₃, BaZrO₃, MgF₂ and CaF₂ bulk band gaps at the Γ -point are mentioned in Table 1 for comparison purposes as well as depicted in Figure 1 [43–47].

Table 1. By means of different exchange–correlation functionals calculated SrTiO₃, SrZrO₃, BaZrO₃, MgF₂ and CaF₂ bulk Γ – Γ band gaps (eV). Experimental bulk band gaps at the Γ -point are listed for comparison.

Method	SrTiO ₃ [30]	SrZrO ₃ [39]	BaZrO ₃ [40]	MgF ₂ [41]	CaF ₂ [42]
Experiment	3.75 [43]	5.6 [44]	5.3 [45]	13.0 [46]	12.1 [47]
B3PW	3.96	5.30	4.93	9.48	10.96
B3LYP	3.89	5.31	4.79	9.42	10.85
HF	12.33	13.54	12.96	19.65	20.77
PWGGA	2.31	3.53	3.24	6.94	8.51
PBE	2.35	3.52	-	6.91	8.45

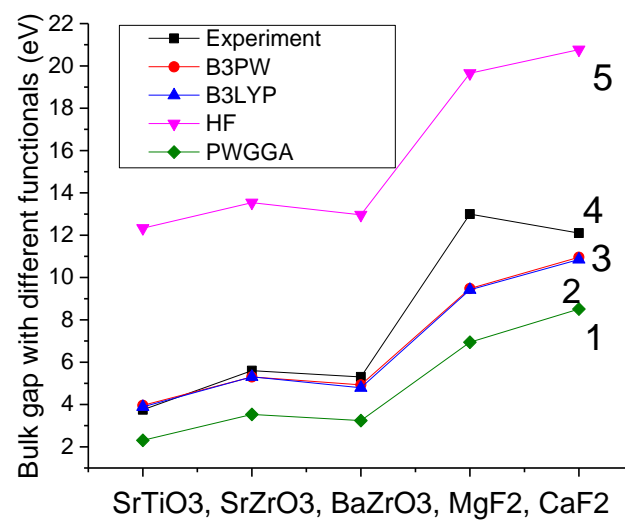


Figure 1. Ab initio calculated and experimentally measured bulk Γ – Γ band gaps for SrTiO₃, SrZrO₃, BaZrO₃, MgF₂ and CaF₂ obtained by means of different exchange–correlation functionals: (1) PWGGA; (2) B3LYP; (3) B3PW; (4) Experiment; (5) HF.

As can be seen in Table 1, the ab initio Hartree-Fock (HF) calculations, for all five our calculated materials, very strongly overestimate the experimental band gap at Γ -point. Namely, the HF method most strongly (3.29 times) overestimate the experimental SrTiO₃ bulk Γ – Γ band gap. Even HF calculated MgF₂ bulk Γ – Γ band gap overestimates the experimental value 1.51 times (Table 1 and Figure 1).

From another side, as we can see from Table 1 and Figure 1, the generalized gradient approximations (GGA) to the density functional theory (DFT) systematically and considerably underestimate the experimental Γ – Γ bulk band gap in our calculated ABO₃ perovskites as well as MgF₂ and CaF₂. For example, the PWGGA (6.94 eV) and PBE (6.91 eV) calculated MgF₂ bulk band gap at Γ -point is 1.87 and 1.88 times, respectively, smaller than the experimental MgF₂ bulk Γ – Γ band gap value of 13.0 eV [37].

To obtain the best possible results, we performed our WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ bulk and (001) surface calculations by means of the B3PW [36–38] or B3LYP [35] hybrid exchange–correlation functionals. The hybrid functional incorporates a portion of exact exchange energy density from HF theory (20%) while the rest of the exchange–correlation part is a mixture of different approaches (both exchange and correlation). It is obvious, that the B3PW and B3LYP hybrid exchange–correlation functionals, since they are a superposition of HF and DFT methods as implemented in the CRYSTAL computer code [27], allowed us to achieve as good an agreement as possible between the first principles calculated and the experimentally detected Γ – Γ band gaps for WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ bulk and their (001) surfaces.

In our ab initio calculations we used WO_2 -terminated WO_3 as well as TiO_2 -terminated SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 (001) slabs containing 9 alternating layers. First our calculated WO_3 (001) slab was terminated by WO_2 planes from both sides ($\text{WO}_2\text{-O-WO}_2\text{-O-WO}_2\text{-O-WO}_2\text{-O-WO}_2$) from a 19-atom supercell (Figure 2). Another of our calculated SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 (001) slabs was terminated by TiO_2 planes from both sides ($\text{TiO}_2\text{-AO-TiO}_2\text{-AO-TiO}_2\text{-AO-TiO}_2\text{-AO-TiO}_2$) and consisted of a 23-atom supercell (Figure 3). Both our calculated slabs were non-stoichiometric and had unit-cell equations W_5O_{14} as well as $\text{A}_4\text{Ti}_5\text{O}_{14}$, respectively. To analyse the chemical bonds, effective atomic charges and covalency effects for WO_3 and ATiO_3 perovskite bulk and (001) surfaces, we used the well-known Mulliken population analysis [48–52].

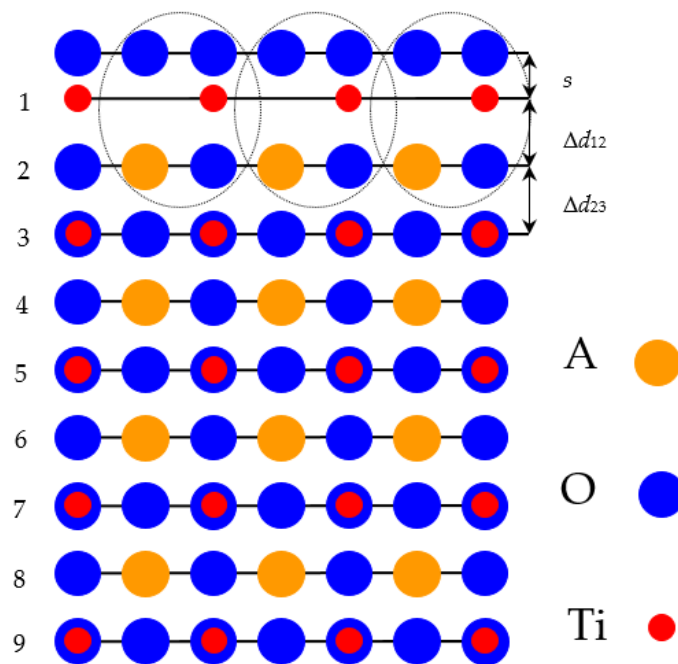


Figure 2. Side view of the nine-layer TiO_2 -terminated ATiO_3 perovskite (001) surface.

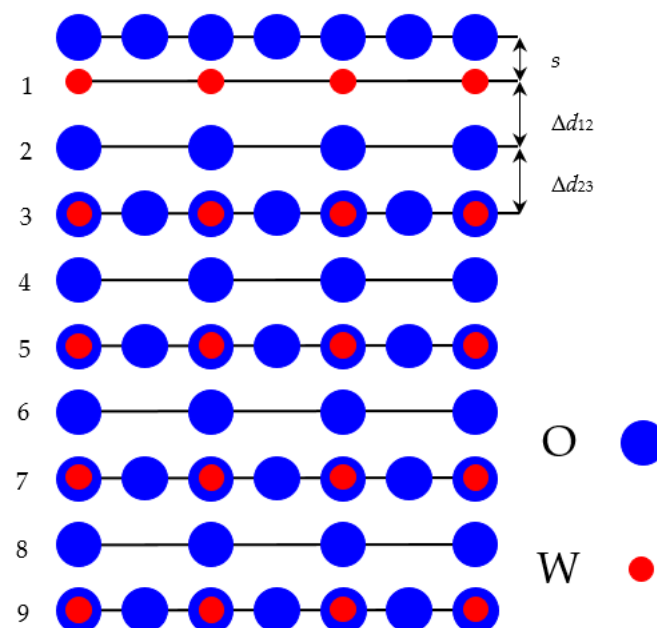


Figure 3. Side view of the nine-layer WO_2 -terminated WO_3 polar (001) surface.

3. Ab initio Calculation Results for WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ Bulk

As a starting point, by means of the hybrid B3LYP exchange–correlation functional, we calculated the cubic WO₃ bulk lattice constant (3.775 Å). Our calculated cubic WO₃ constant (3.775 Å) was only slightly larger than the experimental value of $a_0 = 3.71\text{--}3.75$ Å [51] (Table 2); nevertheless, it was in almost perfect agreement with the earlier calculation result for the WO₃ cubic structure bulk lattice constant calculated by the full-potential linear muffin-tin (FP-LMTO) code equal to 3.78 Å [52]. Our B3PW-calculated SrTiO₃ bulk lattice constant (3.904 Å) was only slightly overestimated with respect to the experimental SrTiO₃ bulk lattice constant (3.89 Å) extrapolated to 0 K [53] (Table 2). Our ab initio calculation of the BaTiO₃ bulk lattice constant (4.008 Å) was in an outstanding agreement with the experimental value of 4.00 Å [53–55]. Our B3PW-calculated PbTiO₃ bulk lattice constant (3.936 Å) [54–56] was only 0.86% under the experimental value of 3.97 Å [57]. Finally, our calculated CaTiO₃ bulk lattice constant (3.851 Å) was 1.17% smaller than the experimentally detected (3.8967 Å) [58–60] (Table 2).

Table 2. Our ab initio-calculated and experimentally measured WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ bulk lattice constants [51–60].

Material	Method	Theory	Experiment
WO ₃	B3LYP	3.775	3.71–3.75 [51]
	FP-LMTO	3.78 [52]	
SrTiO ₃	B3PW	3.904	3.89 [53]
BaTiO ₃	B3PW	4.008	4.00 [53,54]
PbTiO ₃	B3PW	3.936	3.97 [54,57]
CaTiO ₃	B3PW	3.851	3.8967 [58–60]

Our ab initio B3LYP-calculated effective atomic charges for the WO₃ bulk were (+3.095e) for the W atom, and (−1.032e) for each of the three O atoms (Table 3). Our B3LYP-calculated effective W atomic charge (+3.095e) was almost two times smaller than the generally accepted classical ionic charge for the W(+6e) atom. In addition, our calculated effective atomic charge for the O (−1.032e) atom was almost two times smaller than the generally accepted O atom classical ionic charge (−2e). In addition, for the SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ perovskites, our calculated A atomic charges (+1.871e, +1.797e, +1.354e and +1.782e, respectively) were considerably smaller than those of the classical Sr, Ba, Pb, Ca atom ionic charges (+2e) (Table 3) [61–66]. Our B3PW-calculated O atom Mulliken charges in SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ perovskites (−1.407e, −1.388e, −1.232e and −1.371e, respectively) are also at least 29.65% smaller than the classical ionic O atomic charge (−2e) [67–69]. Finally, our ab initio-calculated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ Ti atomic charges (+2.351e, +2.367e, +2.341e and 2.330e) are more than one-and-a-half times smaller than the formal Ti atom ionic charge (+4e). Our calculated chemical bond population between W and O atoms in WO₃ bulk (0.142e) is approximately one-and-a-half times larger than the Ti–O atom chemical bond population in SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ perovskites (+0.088e, +0.098e, +0.098e and +0.084e, respectively).

Our B3LYP-calculated WO₃ bulk Γ – Γ band gap (4.95 eV) overestimated by 1.21 eV the experimental direct WO₃ bulk band gap value at Γ -point of 3.74 eV [70] (Table 4). Moreover, our B3PW-calculated bulk Γ – Γ band gaps for SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ perovskites (3.96 eV, 3.55 eV, 4.32 eV and 4.18 eV, respectively) were always slightly overestimated with respect to the experimentally measured direct band gap values at Γ -point for SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ perovskites (3.75 eV [43], 3.2 eV [71], 3.4 eV [72] and 3.5 eV [73], respectively) (Table 4).

Table 3. Our calculated atomic charges $Q(e)$ as well as bond populations $P(e)$ in WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 bulk materials.

Bulk Materials		WO_3	SrTiO_3	BaTiO_3	PbTiO_3	CaTiO_3
Ion	Property	B3LYP	B3PW	B3PW	B3PW	B3PW
A	Q	-	+1.871	+1.797	+1.354	+1.782
	P	-	-0.010	-0.034	+0.016	+0.006
O	Q	-1.032	-1.407	-1.388	-1.232	-1.371
	P	+0.142	+0.088	+0.098	+0.098	+0.084
B	Q	+3.095	+2.351	+2.367	+2.341	+2.330

Table 4. Ab initio-calculated WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 bulk band gaps for the cubic phase at the Γ - Γ point. Our B3LYP and B3PW calculations were compared with the relevant experimental data.

Crystal	Method	Optical Bulk Band Gap at Γ - Γ Point	
		Ab Initio Calculations	Experimental Results
WO_3	B3LYP	4.95	3.74 [70]
SrTiO_3	B3PW	3.96	3.75 [43]
BaTiO_3	B3PW	3.55	3.2 [71]
PbTiO_3	B3PW	4.32	3.4 [72]
CaTiO_3	B3PW	4.18	3.5 [73]

4. Ab Initio Calculation Results for the WO_2 -Terminated WO_3 as Well as TiO_2 -Terminated SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 (001) Surfaces

Our B3LYP- or B3PW-calculated atomic displacements for the WO_2 -terminated WO_3 and the TiO_2 -terminated SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 (001) surface upper-three or two layers are presented in Table 5. According to our B3LYP or B3PW calculations, all atoms of the first (upper) surface layer relaxed inwards, while all second-layer atoms relaxed outwards (Table 5). The only two exceptions to this systematic trend were the outward relaxation of the first layer O atom of the WO_2 -terminated WO_3 (001) surface (+0.42% of a_0) and the outward relaxation of the TiO_2 -terminated PbTiO_3 (001) surface first-layer O atom by (0.31% of a_0) (Table 5). The first layer metal atom relaxation magnitudes range from -1.71% of a_0 for the TiO_2 -terminated CaTiO_3 (001) surface to -3.08% of a_0 for the TiO_2 -terminated BaTiO_3 (001) surface (Table 5). The first- and second-layer metal atom displacement magnitudes for WO_2 -terminated WO_3 and TiO_2 -terminated SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 (001) surfaces were always considerably larger than the respective first- and second-layer O atom displacement magnitudes (Table 5).

Our B3LYP-calculated surface rumpling amplitude s (the relative displacement of an oxygen atom relative to the metal atom in the upper surface layer) for WO_2 -terminated WO_3 (001) surface (+2.49) is in qualitative agreement with our B3PW-calculated surface rumpling amplitudes s for TiO_2 -terminated BaTiO_3 , PbTiO_3 , CaTiO_3 and SrTiO_3 (001) surfaces (+2.73, +3.12, +1.61 and +2.12, respectively) (Table 6). Our B3PW-calculated surface rumpling amplitude s for TiO_2 -terminated SrTiO_3 (001) surface (+2.12) is in fair agreement with available RHEED (+2.6 [74]) and LEED (+2.1 \pm 2 [75]) experimental data (Table 6). Unfortunately, our B3PW-calculated interlayer distance Δd_{12} for the TiO_2 -terminated SrTiO_3 (001) surface (-5.80) had the opposite sign to the experimentally measured RHEED (+1.8 [74]) and LEED (+1 \pm 1 [67]) interlayer distances (Table 6). Finally, our B3PW-calculated interlayer distance Δd_{23} for the TiO_2 -terminated SrTiO_3 (001) surface (+3.55) is in qualitative agreement with the RHEED experiment result (+1.3), but had the opposite sign to that of the LEED experimental result (-1 \pm 1). Nevertheless, it is worth noting that the RHEED (+1.3) and the LEED experiments (-1 \pm 1) had opposite signs for the interlayer distance Δd_{23} (Table 6).

Table 5. WO₂-terminated WO₃ as well as TiO₂-terminated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surface atom relaxation for upper-three surface layers (in percent of the bulk lattice constant).

Surfaces, (001)		WO ₃	SrTiO ₃	BaTiO ₃	PbTiO ₃	CaTiO ₃
Layer	Ion	WO ₂ -Term.	TiO ₂ -Term.	TiO ₂ -Term.	TiO ₂ -Term.	TiO ₂ -Term.
Method		B3LYP	B3PW	B3PW	B3PW	B3PW
1	B	−2.07	−2.25	−3.08	−2.81	−1.71
	O	+0.42	−0.13	−0.35	+0.31	−0.10
2	A	Absent	+3.55	+2.51	+5.32	+2.75
	O	+0.11	+0.57	+0.38	+1.28	+1.05
3	B	−0.01	-	-	-	-
	O	0.00	-	-	-	-

Table 6. Our B3LYP- or B3PW-calculated surface rumplings *s* and relative displacements Δd_{ij} between the three near-surface planes for the WO₂-terminated WO₃ and the TiO₂-terminated BaTiO₃, PbTiO₃, CaTiO₃ and SrTiO₃ (001) surfaces as a percent of the bulk material lattice constant. The available experimental data are listed for comparison purposes.

Material	Method	WO ₂ - or TiO ₂ -Terminated (001) Surface		
		<i>s</i>	Δd_{12}	Δd_{23}
WO ₃	B3LYP	+2.49	-	-
BaTiO ₃	B3PW	+2.73	−5.59	+2.51
PbTiO ₃	B3PW	+3.12	−8.13	+5.32
CaTiO ₃	B3PW	+1.61	−4.46	+2.75
SrTiO ₃	B3PW	+2.12	−5.80	+3.55
	RHEED exp. [74]	+2.6	+1.8	+1.3
	LEED exp. [75]	+2.1 ± 2	+1 ± 1	−1 ± 1

We started the discussion of the electronic structure of WO₂-terminated WO₃ and TiO₂-terminated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surfaces with an analysis of charge redistribution in the top-three surface planes (Table 7). The ab initio-calculated atomic displacements, bond populations between the nearest metal and oxygen atoms and the effective atomic charges are collected in Table 7. For example, the effective static atomic charges on WO₂-terminated WO₃ as well as TiO₂-terminated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surface upper-layer W and Ti atoms are always reduced in comparison to the bulk WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ crystal charges (−0.312*e*, −0.06*e*, −0.06*e*, −0.062*e* and −0.052*e*, respectively). We recently observed a similar effect: the reduction of surface upper-layer metal atomic charges near the ReO₂-terminated ReO₃ and the ZrO₂-terminated SrZrO₃, BaZrO₃, PbZrO₃ and CaZrO₃ (001) surfaces [76]. According to our ab initio calculations, the largest upper-layer metal atom displacement was observed for the TiO₂-terminated BaTiO₃ (001) surface Ba atom (−0.123 Å). Nevertheless, the TiO₂-terminated PbTiO₃ (001) surface second-layer Pb atom outward displacement (+0.209 Å) was even larger.

Our B3PW-calculated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ bulk Ti-O chemical bond covalency (+0.088*e*, +0.098*e*, +0.098*e* and +0.084*e*, respectively) were always smaller than near the TiO₂-terminated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surfaces (0.118*e*, 0.126*e*, 0.114*e*, 0.114*e*, respectively) (Table 8 and Figure 4). Just opposite situation was obtained for the WO₃ crystal: the B3LYP-calculated W-O chemical bond population in the WO₃ bulk (0.142*e*) was larger than near the WO₂-terminated WO₃ (001) surface (0.108*e*) (Table 8 and Figure 4). Nevertheless, it is worth noting that the W-O chemical bond population between the W atom on the top layer of WO₂-terminated WO₃ (001) surface and the O atom on the

second layer ($0.278e$) is the largest one (Table 8), which was in agreement with our previous B3LYP calculations dealing with ReO_2 -terminated ReO_3 (001) surfaces [76].

Table 7. Our B3LYP- or B3PW-calculated atomic shift magnitudes D (in \AA) as well as the effective atomic charges Q (in e) and nearest atomic chemical bond populations P (in e) for the WO_2 - and TiO_2 -terminated WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 (001) surfaces.

WO ₂ and TiO ₂ -Term. (001) Surfaces			WO ₃	SrTiO ₃	BaTiO ₃	PbTiO ₃	CaTiO ₃
Layer	Property	Ion	WO ₂ -Ter.	TiO ₂ -Ter.	TiO ₂ -Ter.	TiO ₂ -Ter.	TiO ₂ -Ter.
1	D	B	−0.078	−0.088	−0.123	−0.111	−0.066
	Q		+2.783	+2.291	+2.307	+2.279	+2.278
	P		+0.108	+0.118	+0.126	+0.114	+0.114
	D	O	+0.016	−0.005	−0.014	+0.012	−0.004
	Q		−1.146	−1.296	−1.280	−1.184	−1.267
	P		−0.014	−0.014	−0.038	+0.044	+0.016
2	D	A	-	+0.139	+0.101	+0.209	+0.106
	Q		-	+1.850	+1.767	+1.275	+1.754
	P		-	−0.008	−0.030	+0.008	+0.006
	D	O	+0.004	+0.022	+0.015	+0.050	+0.041
	Q		−0.925	−1.365	−1.343	−1.167	−1.324
	P		+0.064	+0.080	+0.090	+0.080	+0.086
3	D	B	−0.0004	-	-	-	-
	Q		+3.001	+2.348	+2.365	+2.335	+2.326
	P		+0.144	+0.096	+0.104	+0.108	+0.090
	D	O	0.000	-	-	-	-
	Q		−1.037	−1.384	−1.371	−1.207	−1.354
	P		−0.032	−0.010	−0.034	+0.018	+0.008

Table 8. Our B3LYP or B3PW calculated W–O or Ti–O chemical bond populations for WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 bulk and for WO_2 - or TiO_2 -terminated (001) surfaces (in e).

Material	Method	W–O or Ti–O Chemical Bond Populations	
		Bulk	WO ₂ , TiO ₂ -Term. (001)
WO ₃	B3LYP	+0.142	+0.108 (W(I)—O(I))
		+0.142	+0.278 (W(I)—O(II))
SrTiO ₃	B3PW	+0.088	+0.118
BaTiO ₃	B3PW	+0.098	+0.126
PbTiO ₃	B3PW	+0.098	+0.114
CaTiO ₃	B3PW	+0.084	+0.114

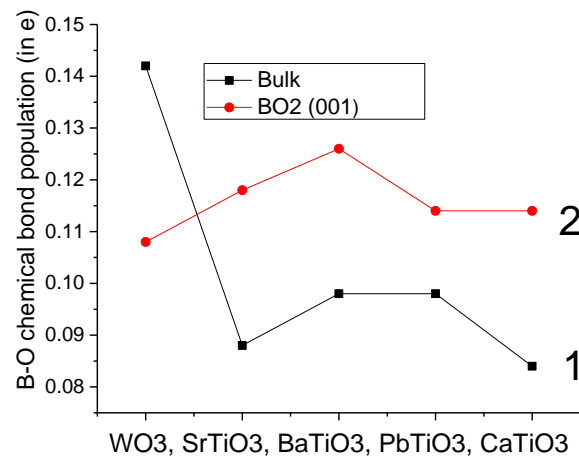


Figure 4. Our ab initio-calculated bulk (1) as well as BO_2 -terminated (001) surface (2). B–O chemical bond populations (in e) for WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 .

As can be seen in Table 9 and Figure 5, our B3LYP- or B3PW-calculated WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 bulk band gaps at the Γ – Γ point were always reduced near the WO_2 - or TiO_2 -terminated WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 (001) surfaces. The B3PW-calculated SrTiO_3 bulk band gap at Γ – Γ point near the TiO_2 -terminated SrTiO_3 (001) surface at Γ – Γ point was reduced only by 0.01 eV. At the same time, our B3LYP-calculated WO_3 bulk band gap (4.95 eV) at the Γ – Γ point near the WO_2 -terminated WO_3 (001) surface was reduced by 3.79 eV to 1.16 eV (Table 9 and Figure 5).

Table 9. B3LYP- or B3PW-calculated Γ – Γ band gaps for WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 bulk as well as their WO_2 or TiO_2 -terminated (001) surfaces.

Material	Method	Calculated Band Gap at Γ – Γ Point	
		Bulk	WO_2 , TiO_2 -Term. (001)
WO_3	B3LYP	4.95	1.16
SrTiO_3	B3PW	3.96	3.95
BaTiO_3	B3PW	3.55	2.96
PbTiO_3	B3PW	4.32	3.18
CaTiO_3	B3PW	4.18	3.30

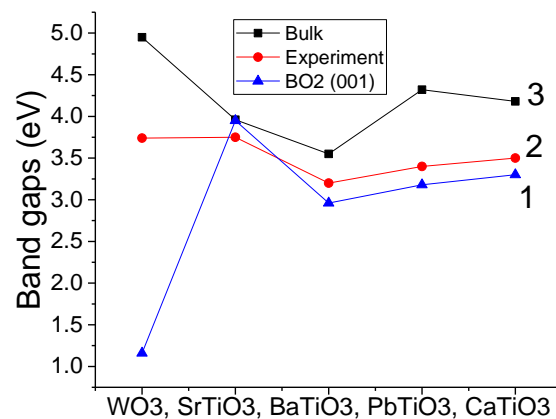


Figure 5. Our ab initio-calculated WO_2 -terminated WO_3 and TiO_2 -terminated SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 (001) surface Γ – Γ band gaps (line 1). Experimentally measured bulk Γ – Γ band gaps (line 2). Our ab initio-calculated WO_3 , SrTiO_3 , BaTiO_3 , PbTiO_3 and CaTiO_3 bulk Γ – Γ band gaps (line 3).

5. Conclusions

For the first principles-calculated WO₂- or TiO₂-terminated WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surfaces, as a rule, all first-layer surface atoms relax inwards, whereas all second-layer surface atoms relax upwards. The only two exceptions from this systematic trend are the upward relaxation of WO₂- or TiO₂-terminated WO₃ and PbTiO₃ (001) surface first-layer O atoms. As a result of our ab initio-calculated atomic relaxation, TiO₂-terminated SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surfaces exhibited a reduction of the interlayer distance Δd_{12} (−5.80, −5.59, −8.13, −4.46% of a_0 , respectively) as well as an expansion of Δd_{23} (+3.55, +2.51, +5.32, +2.75% of a_0 , respectively). It is worth noting that after geometry optimization, it is very useful to perform ab initio molecular dynamics computations to ensure the stability of the structures over time [77].

The changes in the interlayer distances between the first and second layer (Δd_{12}) were always larger than between the second and third layer (Δd_{23}) for all our calculated perovskites SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃.

The Ti–O chemical bond population in SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ bulk was always smaller than near their TiO₂-terminated (001) surface (see Figure 4). In contrast, the W–O chemical bond population in the WO₃ bulk (0.142 e) was larger than near the WO₂-terminated WO₃ (001) surface (0.108 e). Nevertheless, the largest W–O chemical bond population, according to our ab initio calculations, is between the W atom located on the WO₂-terminated WO₃ (001) surface and the second-layer O atom (0.278 e). It was worth noting, that also for the related material ReO₃, according to our calculations [76], the situation was similar. Namely, the Re–O chemical bond population in the ReO₃ bulk (0.212 e) was larger than near the ReO₂-terminated ReO₃ (001) surface (0.170 e). Nevertheless, the Re–O chemical bond population between the Re atom located on the ReO₂-terminated ReO₃ (001) surface upper-layer and O atom located on the ReO₂-terminated ReO₃ (001) surface second layer was the largest (0.262 e).

According to our B3LYP or B3PW calculations, the WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ bulk Γ – Γ band gap values (4.95, 3.96, 3.55, 4.32, 4.18 eV, respectively) were always reduced with respect to the bulk near the WO₂- or TiO₂-terminated WO₃, SrTiO₃, BaTiO₃, PbTiO₃ and CaTiO₃ (001) surfaces (1.16, 3.95, 2.96, 3.18, 3.30 eV, respectively) (see Figure 5).

Author Contributions: All authors equally contributed to the performed ab initio calculations as well as to the preparation of the manuscript. All authors have read and agreed to the published version of the manuscript.

Funding: This study was supported by the ERAF Project No. 1.1.1.1/18/A/073.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Acknowledgments: We greatly acknowledge the financial support via the ERAF Project No. 1.1.1.1/18/A/073. Calculations were performed using Latvian Super Cluster (LASC), located in the Center of Excellence at Institute of Solid State Physics, the University of Latvia, which is supported by European Union Horizon 2020 Framework Programme H2020-WIDESPREAD-01-2016-2017-Teaming Phase 2 under Grant Agreement No. 739508, project CAMART.

Conflicts of Interest: The authors declare no conflict of interest.

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